

## NUPlans Contributor Allocations View

NUPlans enables both Central and area allocations processes. The Central Allocations process is managed by the Budget Office, which uploads the budget model for all Centrally-Supported schools and units into NUPlans. At that time, budgeters can see their portion of the budget model in the Allocations view of NUPlans Contributor. The Allocations view displays the current year budget (with the original budget and recurring adjustments represented), the Central Allocation, and next year's budget appropriation.

NUPlans Contributor integrates the budget model with two things: 1) area-specific internal allocations processes and 2) budget preparation at the budget group level.

- 1) Areas may conduct separate internal allocations processes and/or redistribute the Central Allocation. If so, results appear in the Allocations view and integrate with budget preparation.
- 2) All existing allocations are summed to create a net budget appropriation for each base chart string, which is compared to the next fiscal year's budget (FYXX Final Budget) to calculate a variance.

While Contributor is for the budget group level, the Allocations functionality in NUPlans Web is for the area/Prep File level. NUPlans Web Allocations Summary views promote an understanding of the entire area, and Allocations Input views enable area-specific internal processes.

### Contents

Upon completion of this guide, you should be able to:

- Describe the purpose and functionality of the Allocations view.
- Define the columns and calculations of the Allocations view.

### See Also

Refer to the NUPlans training curriculum for assistance with:

- Finding budget targets and variances
- Using area allocations for Fund 171 budgeting.

### Who has access?

The NUPlans Allocations view is available to budget group members with the access below.

**General** – Access to budget input in fund views, the Allocations view, and the ability to add chart strings and/or change chart string status

**Planner** – Access to budget input in fund views and the Allocations view

**Prep File** – Includes all other roles except Salary – Confidential; intended for users who have responsibility for submitting the budget for their entire prep file area

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# Allocations View

The Allocations View displays all base chart strings in the budget grouping and related budget and allocations data.

- The Allocations view has a row for every fund and base chart string in the budget grouping.
- For each department, program, and project, budget and allocations amounts appear at right.

<span>Version Summary</span> <span>Comp Input</span> <span>Add Placeholder Data - Simple</span> <span>Add Placeholder Data</span> <span>Edit Placeholder Data</span> <span>Comp Totals</span>					
<span>Comp Summary</span> <span>Comp Fund Overview</span> <span>Benefit Rates</span> <span>Grant Input</span> <span>Comp Budget by Chart String</span> <span>Fund 110 Input</span>					
<span>Fund 120 Input</span> <span>Fund 151 Input</span> <span>Fund 156 Input</span> <span>Fund 160 Input</span> <span>Fund 171 Input</span> <span>Fund 172 Input</span> <span>Gifts</span>					
<span>Endowments</span> <span>Endowment Rate</span> <span>Carry Forward</span> <span><b>Allocations</b></span>					
Rows: - Chart Strings by Fund [G_ChartString_Base]		Columns: - Allocations [B_CarryForward_Info]		Context: V1 - Budget Scenario 1 [G_Scenario] Training 002 (TRAIN 002) [G_BudgetGrouping]	
	FY15 Bud	Central Allocation	FY16 Budget Appropriation	Dean/VP Allocation	Net Bu
<b>Total Funds</b>	<b>(4,161,892)</b>	<b>(101,786)</b>	<b>(4,263,678)</b>	<b>0</b>	
<b>110 &amp; 171</b>	<b>(1,083,231)</b>	<b>(101,786)</b>	<b>(1,185,017)</b>	<b>0</b>	
<b>110</b>	<b>(1,113,231)</b>	<b>(82,706)</b>	<b>(1,195,937)</b>	<b>6,500</b>	
110-1021001 Operations	<b>(1,122,231)</b>	(82,706)	(1,204,937)	6,500	
110-1021002 Programs	<b>9,000</b>	0	9,000	0	
<b>171</b>	<b>30,000</b>	<b>(19,080)</b>	<b>10,920</b>	<b>(6,500)</b>	
171-1021001-10006057 Faculty Project	<b>0</b>	0	0	(3,500)	
171-1021001-10006069 Staffed Research Project	<b>30,000</b>	(19,080)	10,920	0	
171-1021001-10006086 Faculty Project	<b>0</b>	0	0	0	
171-1021001-10023274 Faculty Project	<b>0</b>	0	0	(3,000)	

Snapshot of the Allocations view showing the first five columns

## Columns

The columns of the Allocations view are defined below.

Column Name	Type	Definition
FYXX Original Budget	<i>Expanded Uploaded</i>	<p>The original budget for the current year approved in the prior budgeting season. The number is the net of revenue and expenses. It may be negative, zero, or positive.</p> <ul style="list-style-type: none"> <li>• Normally, the number is negative. For example, on chart string 110-1131001, (380,715) is the excess of expenses over revenue. The excess is approved and addressed by an appropriation of spending authority from the Central Office.</li> <li>• The number may be zero. Several examples are shown in Fund 171. Zero dollar budgets are common in Fund 171, where historically, budgeters used a zero dollar (0) expense budget to open a faculty project and supported spending during the year, if necessary, with a budget adjustment from 110 to 171.</li> <li>• The number may be positive. If so, the number appears without parentheses around it. A 10,000 original budget may signal that there is no need for an appropriation.</li> </ul>
FYXX Recurr Adj	<i>Expanded Uploaded</i>	Recurring adjustments approved during the current year.
<b>FYXX Bud</b>	Calculated	<p>The sum of the original budget and recurring adjustments. (Non-recurring adjustments for the current year are visible in the Fund views. They are not visible in this view because they are not part of the recurring budget model.)</p>
Central Allocation	Uploaded	<p>Amounts allocated in addition to the current year budget, based on increase parameters.</p> <ul style="list-style-type: none"> <li>• Central Allocations are normally expressed as a negative number. For example, (82,248) adds to the current year budget on chart string 110-1131001.</li> <li>• Zeroes indicate no additional spending authority.</li> <li>• A positive number works in tandem with a negative number to indicate the redistribution of spending authority to another chart string.</li> </ul>
FYXX Budget Appropriation	Uploaded	<p>The sum of the current year budget and the Central Allocation. X="FYXX Bud" + "Central Allocation." In the example shown for the Operations chart string (380,715) + (82,248) = (462,963).</p>

Column Name	Type	Definition
Dean/VP Allocation	Imported	<p>Amounts allocated to the chart string via Area-specific internal allocations processes entered into NUPlans Web Allocations Input view.</p> <p>Dean/VP allocations are independent of Central Allocations and so may exist without – or in addition to – Central Allocations. A typical Area allocation is from a Fund 110 chart string to a faculty project in Fund 171.</p> <p>Dean/VP allocations may be negative, zero, or positive.</p> <ul style="list-style-type: none"> <li>• Negative amounts increase spending authority (and increase the net budget appropriation).</li> <li>• Positive amounts decrease the net budget appropriation. Together, positive and negative amounts may redistribute spending authority.</li> <li>• Zeroes indicate no additional spending authority or that the functionality is not used.</li> </ul>
Net Budget Appropriation	Calculated	<p>The sum of next year’s centrally-supported budget appropriation and the area allocation.  <math>X = \text{“FYXX Budget Appropriation”} + \text{“Dean/VP Allocation.”}</math></p>
FYXX Final Budget	Imported	<p>The net of revenue and expense for the giving chart string, imported from the Fund view. The source is the cell at the intersection of “FY 20XX Final Budget” and the base chart string.</p> <ul style="list-style-type: none"> <li>• When automatic balancing is off, the number is the net of revenue and expense plus Area allocations: Revenue – Expense + Dean/VP Allocations.</li> <li>• When automatic balancing is on, the number is zero plus Area allocations: (0) + “Dean/VP Allocations.” This is true in all Areas for 171, Gift, and Endowment Funds.</li> </ul>
Variance	Calculated	<p>The difference of the final budget and the net budget appropriation.  <math>X = \text{“FYXX Final Budget”} - \text{“Net Budget Appropriation.”}</math></p>
Comments	Input	Explanatory notes about an allocation or variance.
Status	Display only	The status of the chart string, whether Active, Inactive, or Do Not Budget.
Fund, Dept, Project	Imported	Chartfields provided for export to excel.

Rows: - Chart Strings by Fund [G\_ChartString\_Base] Columns: - Allocations [B\_CarryForward\_Info] Context: V1 - Budget Scenario 1 [G\_Scenario] Training 002 (TRAIN 002) [G\_BudgetGrouping]

	Dean/VP Allocation	Net Budget Appropriation	FY16 Final Budget	Variance	Comments
<b>Total Funds</b>	<b>0</b>	<b>(4,263,678)</b>	<b>4,263,678</b>	<b>0</b>	
<b>110 &amp; 171</b>	<b>0</b>	<b>(1,185,017)</b>	<b>1,185,017</b>	<b>0</b>	
<b>110</b>	<b>6,500</b>	<b>(1,189,437)</b>	<b>1,195,937</b>	<b>6,500</b>	
110-1021001 Operations	6,500	(1,198,437)	1,204,937	6,500	
110-1021002 Programs	0	9,000	(9,000)	0	
<b>171</b>	<b>(6,500)</b>	<b>4,420</b>	<b>(10,920)</b>	<b>(6,500)</b>	
171-1021001-10006057 Faculty Project	(3,500)	(3,500)	0	(3,500)	
171-1021001-10006069 Staffed Research Project	0	10,920	(10,920)	0	
171-1021001-10006086 Faculty Project	0	0	0	0	
171-1021001-10023274 Faculty Project	(3,000)	(3,000)	0	(3,000)	
<b>160</b>	<b>0</b>	<b>(61,108)</b>	<b>61,108</b>	<b>0</b>	
160-1021003 Recharge Center	0			0	
<b>172</b>	<b>0</b>			<b>0</b>	
172-1021001-10006067 Consulting Project	0			0	
172-1021001-10006074 Technical Project	0			0	
172-1021001-10006081 Laboratory Project	0			0	
172-1021001-10023117 Research Project	0			0	
<b>320</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	
320-1021001-30010006 Small Recurring Gift	0	0	0	0	

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Snapshot of the Allocations view showing the right side of the view, including: Dean/VP Allocation, Net Budget Appropriation, FYXX Final Budget, Variance, and Comments